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Format of holding of specified securities

Annexure - I

1 **Name of Listed Entity : SMART TRADING & INVESTMENT CO LTD**

2 Scrip Code/Name of Scrip/Class of Security : 029353 EQUITY SHARES

3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30.06.2023**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

SMART TRADING & INVESTMENT CO. LTD.

*Shubh Singh*

Director

*SK*

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**Table I - Summary Statement holding of specified securities**

Category (i)	Category of shareholder (ii)	Nos. of shares held (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eq: x	Class eq: y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	8	100000	0	0	100000	41.67	100000	0	100000	41.67	0	0	0.00	0	0.00	0	
(B)	Public	294	140000	0	0	140000	58.33	140000	0	140000	58.33	0	0	0.00	0	0.00	0	
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DPs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>302</b>	<b>240000</b>	<b>0</b>	<b>0</b>	<b>240000</b>	<b>100</b>	<b>240000</b>	<b>0</b>	<b>240000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

SMART TRADING & INVESTMENT CO. LTD.  
  
 Director

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**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

(1)	Category & Name of shareholders (i)	PAN (ii)	No. of shares (iii)	No. of fully paid-up equity (iv)	No. of partly paid-up equity shares held (v)	No. of Depository Receipt (vi)	Total Shares held (vii) = (iv)+(v)+(vi)	Stipulating 1987 as per SCRR, As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Voting rights	No. of Shares Underlying convertible securities (including Warrants) (x)	Stipulating as a % conversion of convertible securities (as a percentage of total capital) (xi) = (vii)-(x) as a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
									Class x	Class y	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
(1)	Indian																			
	Individuals/Hindu undivided Family		7	90000	0	0	90000	37.50	90000	0	90000	37.50	0	0	0	0	0	0	0	0
	MAJUNNA LAL KERIWAL	AFVPRK06S0F		20000	0	0	20000	8.33	20000	0	20000	8.33	0	0	0	0	0	0	0	0
	BINDU KERIWAL	AFVPRK06S9N		10000	0	0	10000	4.17	10000	0	10000	4.17	0	0	0	0	0	0	0	0
	KISHAN KUMAR KERIWAL	AFVPRK0660H		10000	0	0	10000	4.17	10000	0	10000	4.17	0	0	0	0	0	0	0	0
	UMA KERIWAL	AEVPRK5865R		10000	0	0	10000	4.17	10000	0	10000	4.17	0	0	0	0	0	0	0	0
	SANTOSH KUMAR KERIWAL	AEPK457P		10000	0	0	10000	4.17	10000	0	10000	4.17	0	0	0	0	0	0	0	0
	SARLA KERIWAL	ALPPK7087F		10000	0	0	10000	4.17	10000	0	10000	4.17	0	0	0	0	0	0	0	0
	KUSUM KERIWAL	AGPK3242P		20000	0	0	20000	8.33	20000	0	20000	8.33	0	0	0	0	0	0	0	0
	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0
	Financial Institutions/Banks																			
	Any Other Bodies Corporate		1	10000	0	0	10000	4.17	10000	0	10000	4.04	0	0.00	0	0	0	0	0	0
	SANTOSH SALES PVT LTD	AAOC7831D		10000	0	0	10000	4.17	10000	0	10000	4.04	0	0	0	0	0	0	0	0
	Trusts																			
	Clearing Members																			
	Sub-Total (A)\1		8	100000	0	0	100000	41.67	100000	0	100000	41.54	0	0.00	0	0	0	0	0	0
	Foreign																			
	Individuals (Non-Resident Individuals/Foreign Individuals)																			
	(a) Government Institutions																			
	(b) Foreign Portfolio Investor																			
	(c) Any Other																			
	(d) OCBs																			
	Sub-Total (A)\2		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)\1+(A)\2		8	100000	0	0	100000	41.67	100000	0	100000	41.54	0	0.00	0	0	0	0	0	0

SMART TRAINING & INVESTMENT CO. LTD.  
  
 Director

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**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of Shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)-(x) as a % of (A+B+C)	Number of Locked in Shares (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i) Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2) Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		294	140000	0	0	140000	58.33	140000	0	140000	56.68	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.																		

leb

Total NBFCs registered												
(a)	With RBI											
(c)	Employee Trusts											
	Overseas Depositors Holding DRs/balancing (d) figure)											
(e)	Any Other											
	Bodies Corporate											
	OFI - Individual											
	NRI - Repatriable											
	NRI - Non-Repatriable											
	OCBs											
	Trusts											
	Clearing Members											
	IEPF Authority											
	Unclaimed Shares											
	A/c											
	Sub-Total (B)(3)	294	140000	0	0	140000	58.33	140000	0	140000	56.68	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	294	140000	0	0	140000	58.33	140000	0	140000	56.68	0

SMART TRADING & INVESTMENT CO. LTD.  
*Sudip Sinha*  
 Director

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**Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder**

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)-(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total			Total as a % of Voting rights	(a)	As a % of total Shares held (b)	(a)	
(1) Custodian, DR Holder																	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
Total Non-Promoter-Non-Public Shareholding (C)=(1)+(2)																	

SMART TRADING & INVESTMENT CO. LTD.  
  
 Director

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**Table V - Statement showing shareholding details of significant beneficial owner**

Sr No.	Details of the significant beneficial owner (i)			Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect: (iii) Whether by virtue of:				Date of creation / acquisition of significant beneficial interest (iv)	
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control		Exercise of significant influence

SMART TRADING & INVESTMENT CO. LTD.  
  
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